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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY</b> <b>PATENT APPLICATION</b> <b>TRANSMITTAL</b> for new nonprovisional applications under 37 CFR 1.53(b)	Attorney Docket No. X-10911A	
	First Named Inventor or Application Identifier	
	Chin-Ming Chang and Henry A. Havel	
	Express Mail Label No.	EL018700462US

PTO  
10/055509

01/23/02

<b>Application Elements</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																																													
1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total 16] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 3] 4. <input type="checkbox"/> Oath or Declaration [Total Pages 3] - Newly executed (original or copy) - <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies																																													
<b>ACCOMPANYING APPLICATION PARTS</b> 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity Statement filed in prior application, Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: XXX																																															
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/555,476/Filed: May 31, 2000																																															
<b>18. CORRESPONDENCE ADDRESS</b> <table border="1"> <tr> <td>Customer Number or Bar Code Label</td> <td>(Insert Customer No. Or Attach Bar code label here)</td> <td>or</td> <td>Correspondence address below</td> </tr> <tr> <td colspan="4">Eli Lilly and Company</td> </tr> <tr> <td colspan="2">ADDRESS</td> <td colspan="2">             25885         </td> </tr> <tr> <td colspan="2">Lilly Corporate Center Patent Division DC: 1104</td> <td colspan="2">PATENT TRADEMARK OFFICE</td> </tr> <tr> <td>CITY</td> <td>Indianapolis</td> <td>STATE</td> <td>Indiana</td> </tr> <tr> <td>COUNTRY</td> <td>U.S.A.</td> <td>TELEPHONE</td> <td>317-276-9934</td> </tr> <tr> <td colspan="2">SUBMITTED BY</td> <td colspan="2">Complete (if applicable)</td> </tr> <tr> <td colspan="2">Typed or Printed Name</td> <td colspan="2">Reg. Number</td> </tr> <tr> <td colspan="2">Signature</td> <td colspan="2">Date</td> </tr> <tr> <td colspan="2">Thomas D. Webster</td> <td colspan="2">39,872</td> </tr> <tr> <td colspan="2">Thomas D. Webster</td> <td colspan="2">January 22, 2002</td> </tr> </table>				Customer Number or Bar Code Label	(Insert Customer No. Or Attach Bar code label here)	or	Correspondence address below	Eli Lilly and Company				ADDRESS		 25885		Lilly Corporate Center Patent Division DC: 1104		PATENT TRADEMARK OFFICE		CITY	Indianapolis	STATE	Indiana	COUNTRY	U.S.A.	TELEPHONE	317-276-9934	SUBMITTED BY		Complete (if applicable)		Typed or Printed Name		Reg. Number		Signature		Date		Thomas D. Webster		39,872		Thomas D. Webster		January 22, 2002	
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"Express Mail" mailing label number EL018700462US Date of Deposit Jan. 23, 02 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231. G. W. Thomas Printed Name G. W. Thomas Signature																																															

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PTO/SB/17 (12/97)

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**FEE TRANSMITTAL**

Note: Effective November 10, 1997.

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$740.00)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Chin-Ming Chang, Henry A. Havel.
Group Art Unit	
Examiner Name	
Attorney Docket Number	X-10911A

**METHOD OF PAYMENT (check one)****FEE CALCULATION (continued)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 05-0840

Deposit Account Name: Eli Lilly and Company

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$740.00)

**2. CLAIMS**

Total Claims	Extr a	Fee from below	Fee Paid
10	-20**=	0	X 18 = 0
Independent Claims	2	-3**=	0
Multiple Dependent Claims (first time)	0	X	280 = 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,280	241	640	Petition to revive-unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design Issue Fee	
144	620	244	310	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Typed or Printed Name	Thomas D. Webster	Complete (if applicable)	
Signature	<i>Thomas D. Webster</i>	Reg. Number	39,872
		Date	January 22, 2002

"Express Mail" mailing label number EL018700462US

Date of Deposit Jan. 23, 02

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Printed Name

Signature